

ETHICS IN GOVERNMENT PROGRAM AS MANDATED BY PUBLIC LAW 28-76

COMPLIANCE AUDIT

January 2018 through March 2021

OPA Report No. 21-05

May 2021





**Ethics in Government Program
As Mandated By Public Law 28-76**

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Executive Summary
Ethics in Government Program As Mandated by Public Law 28-76
OPA Report No. 21-05, May 2021

Our compliance audit of elected and appointed officials attending an ethics in government program training found the following who complied with P.L. 28-76:

- All elected executive and legislative branch officials, including the Governor, Lt. Governor, Attorney General, Public Auditor, 15 members of the 36th Guam Legislature, and the six elected Guam Education Board officials;
- 19 elected mayors and six vice mayors;
- Four¹ Consolidated Commission on Utilities Commissioners; and
- 286 appointed positions serving in various capacities as agency heads, board or commission members.

We noted that certain appointed positions served on multiple boards or commissions and were duplicated in the count. For example, in addition to serving as the Director of the Department of Public Health and Social Services, that position is required to serve on the Post Mortem Commission, Prevention Education and Community Empowerment (PEACE), Serve Guam Commission, Cannabis Control Board, and Medical Cannabis Regulation Commission, so compliance counted for six of the 286 appointed positions in compliance with the ethics in government program training.

We also found limitations with Guam's ethics law. Specifically, there was (1) no one entity monitoring compliance with elected and appointed officials attending the required training, (2) restriction on who should attend the course, (3) and no penalty for non-compliance.

No One Entity Monitoring Compliance

In January 2021, we obtained a listing of board and commission members through the Governor's Coordinator for Boards & Commissions. However, our challenge remains the same as there was no one entity responsible for monitoring compliance of elected and appointed officials attending the required ethics training. Our office contacted each agency individually and requested a copy of their elected or appointed officials' certificate of attendance. We recommend an entity, such as the Guam Ethics Commission, be responsible for monitoring compliance with the required ethics training.

In the past, we found it difficult to obtain a complete and accurate listing of appointed government officials required to attend the course. Our staff utilized the Guam Election Commission's website to obtain a list of all elected officials. For appointed officials, we noted that in the 2019 Transition report, the Transition Committee pointed out that no one person or department had a list of all boards and commissions required under Guam law, a list of all current board members, their expiration terms, and other information that could enable anyone to see the current board members at a glance. The

¹ One CCU Commissioner was sworn in on March 23, 2021 and has ninety (90) days from that date to take the required ethics training.

Transition Subcommittee recommended the Governor's Legal Office maintain a current list of all boards and commissions and other pertinent information.

Restriction on Attendance

P.L. 28-76 requires that elected and appointed government officials attend an ethics course. All government employees are public servants and all have ethical responsibilities to gain public trust, ensure accountability, fairness and transparency. We recommend there be no restriction on who can participate in an ethics program and that all government employees, in particular mid-level managers and procurement officials, be required to attend a basic ethics-training program.

We understand the Guam Legislature passed Bill No. 94-36, introduced on March 22, 2021, which would require ethics in government training for all Government of Guam (GovGuam) employees. We believe that if an employee, and not just the agency head or oversight board, is informed and educated on their ethical responsibilities, it does not only ensure government integrity within the workplace, but also gains trust from the people we serve.

No Penalty for Non-Compliance

P.L. 28-76 does not address non-compliance of the law. As stated earlier, we recommend the Guam Ethics Commission be designated the responsibility of monitoring compliance of ethics training for elected and appointed officials. At a minimum, documentation from participants should include a certificate of completion (with the sponsor, program title, date of program, and number of continuing professional education (CPE) hours), name of instructor, outline of the presentation, and relevant program materials. Records should be maintained for five years.

Conclusion

As elected and appointed officials, we wield considerable power over a number of important community matters. With this power comes the expectation that GovGuam officials hold ourselves to the highest ethical standards. They are entrusted with a great responsibility to run the affairs of our government with honesty and integrity, and must ensure that decisions be made for the greater good of the community rather than self-interest. The purpose of this report is to highlight the importance and raise our elected and appointed officials' awareness of the greater scope of responsibilities their position holds. Additionally, this is also to encourage greater accountability and the judicious use of authority for the greater good of the community.

Benjamin J.F. Cruz
Public Auditor



Introduction

This report presents the results of our compliance audit on compliance with elected and appointed officials completing the required ethics in government program training. Our objective was to determine which elected and appointed officials attended the ethics in the government program training required per Public Law (P.L.) 28-76. Our scope covered all incumbent elected and appointed officials in the executive and legislative branches of government as of March 31, 2021 and the most recent ethics training they attended. The Chief Justice of the Supreme Court has jurisdiction and governance over the Judiciary in matters of ethics, therefore, the ethics in government training requirement did not apply to the Judicial Branch.

Our audit objective, scope, methodology, and prior audit coverage are detailed in Appendices 1 and 2.

Background

P.L. 28-76 established an ethics in government program for elected officials and any person who is appointed to a position as board or commission member, including autonomous agencies, director, deputy director, or by whatever title denotes the head and first assistant of a government of Guam agency, department, public corporation, authority, or any other entity of the executive branch.

All elected officials are required to attend an ethics course within 90 days of taking office while appointed officials are required to attend within six months of their appointments and shall undergo the refresher ethics in government program at least once every four years. The law took effect on January 1, 2007.

See Appendix 3 for the Ethics in Government Program as mandated by P.L. 28-76.

Elected Positions

The following elected officials are either funded by the taxpayers through appropriations from the General Fund or by ratepayers.

- 1. Governor and Lieutenant Governor of Guam**

The Governor of Guam, together with the Lieutenant Governor, shall be elected by a majority of the votes cast by the people who are qualified to vote for the members of the Legislature of Guam. The Governor and Lieutenant Governor shall be chosen jointly, by the casting of each voter of a single vote applicable to both offices.

- 2. Fifteen (15) Members of the Guam Legislature**

I Liheslaturan Guahan shall be a unicameral body consisting of fifteen (15) members who are elected at-large and as provided by law.

3. Attorney General of Guam

The Office of the Attorney General of the government of Guam shall be administered by the Attorney General of Guam, who shall be elected by the people of Guam for a term of four (4) years.

4. Public Auditor

The Public Auditor shall be elected by the voters of Guam in a General Election occurring at the same time as the General Election for Mayors. The Public Auditor shall serve a term of four (4) years.

5. Mayors and Vice-Mayors

Mayors and Vice Mayors as indicated in § 40101, shall be elected by popular vote of the area which such Mayors are to represent. In districts where there are Vice Mayors, the Mayor and Vice Mayor shall be chosen separately. There are nineteen (19) village mayors and seven (7) village vice-mayors.

6. Consolidated Commission on Utilities

The five (5) Commissioners of the Consolidated Commission on Utilities are elected at-large by the voters of Guam in a General Election.

7. Guam Education Board

Six (6) voting members shall be elected at-large at each General Election.

Results of Audit

Our compliance audit found that majority of the elected and appointed officials attended the ethics in government program training in accordance with P.L. 28-76: Specifically, the following complied with attending the required training:

- All elected executive and legislative branch officials, including the Governor, Lt. Governor, Attorney General, Public Auditor, the 15 members of the 36th Guam Legislature, and the six elected Guam Education Board officials;
- 19 elected mayors and six vice mayors;
- Four Consolidated Commission on Utilities (CCU) Commissioners; and
- 286 appointed positions serving in various capacities as agency heads, board or commission members.

We noted that certain appointed positions served on multiple boards or commissions and were duplicated in the count. For example, in addition to serving as the Director of the Department of Public Health and Social Services, that position is required to serve on the Post Mortem Commission, Prevention Education and Community Empowerment (PEACE), Serve Guam Commission, Cannabis Control Board, and Medical Cannabis Regulation Commission, so compliance counted for six of the 286 appointed positions in compliance with the ethics in government program training.

In addition, we noted the following limitations of Guam's ethics law: (1) no one entity monitoring compliance with elected and appointed officials attending the required training, (2) restriction on who should attend the course, (3) and no penalty for non-compliance.

Elected Officials

Of the 56 elected officials, 54 attended the required ethics in government program training. They are as follows:

- Governor and Lieutenant Governor attended on January 4, 2019;
- Attorney General attended on January 29, 2019;
- Public Auditor attended on January 29, 2019;
- 36th Guam Legislature attended between January 29, 2019 and March 11, 2021;
- Members of the Guam Board of Education attended on March 13, 2021 and March 22, 2021;
- Village Mayors attended on December 16, 2020;
- Village Vice Mayors, except for Sinajana's Vice Mayor, attended on December 16, 2020; and
- Members of the CCU, except for one Commissioner, attended on March 2, 2019 and March 23, 2019.

See Appendix 4 for a compliance listing of elected officials attending the required ethics in government program training.

Appointed Officials

In its February 2019 Transition Report, the Transition Advisory Committee identified approximately 112 boards, commissions, and councils, with a combined total of approximately 945 statutorily required members for all boards, commissions and councils. Through our review of information provided by

the Governor's Office in January 2021 and reconciling that with the Transition Report, we identified 137 boards, commissions, and councils. See Appendix 5 for a list of Guam boards, commissions, and appointments.

We found there were 286 appointed positions serving in various capacities as agency heads, boards or commission members that attended the required ethics in government program training between January 4, 2019 and April 15, 2021. Some of the agency heads serve on multiple boards or commissions and were duplicated in the count.

See Appendix 6 for a compliance listing of appointed officials attending the required ethics in government program training.

Other Related Matters

We found certain limitations of the ethics law. They include:

- No one entity monitoring compliance with elected and appointed officials attending the required training;
- Restriction on who should attend the course; and
- No penalty for non-compliance.

No One Entity Monitoring Compliance

In January 2021, we obtained a current listing of board and commission members from the Governor's Coordinator for Boards & Commissions. However, our challenge remains the same as there was no one entity responsible for monitoring compliance with elected and appointed officials attending the required ethics training. Our office contacted each agency individually and requested for a copy of their certificate of attendance. We recommend an entity, such as the Guam Ethics Commission, be responsible for monitoring compliance with the required ethics training.

In the past, we found it difficult to obtain a complete and accurate listing of appointed government officials required to attend the course. Our staff referred to the Guam Election Commission's website to obtain a list of all elected officials. For appointed officials, we noted that in the 2019 Transition report, the Transition Committee pointed out that no one person or department had a list of all boards and commissions required under the law, a list of all current board members, their expiration terms, and other information that could enable anyone to see, the current board members at a glance. The Transition Subcommittee recommended the Governor's Legal Office maintain a current list of all boards and commissions and other pertinent information.

Restrictions on Attendance

P.L. 28-76 requires that elected and appointed government officials attend an ethics course. All government employees are public servants and all have ethical responsibilities to gain public trust, ensure accountability, fairness and transparency. We recommend that there be no restriction on who can participate in an ethics program and require all government employees, in particular mid-level managers and procurement officials, be required to attend a basic ethics-training program. The potential benefits of having employees participate in an ethics-training program include:

- ❑ Fostering a more satisfying and productive working environment for employees;
- ❑ Building and sustaining your entity's reputation;
- ❑ Maintaining the trust of employees;
- ❑ Providing ethical guidance and resources for employees prior to making difficult decisions;
- ❑ Aligning the work efforts of staff with the agency's broader mission and vision; and
- ❑ Recruiting and retaining top quality people.

We recognize the Guam Legislature passed Bill No. 94-36, introduced in March 22, 2021, which would require ethics in government training for all Government of Guam employees. We believe that if an employee, and not just the agency head or oversight board, is informed and educated on their ethical responsibilities, it does not only ensure government integrity within the workplace, but also gains trust from the people we serve.

No Penalty for Non-Compliance

P.L. 28-76 does not address non-compliance of the law. As stated earlier, we recommended the Guam Ethics Commission be designated the responsibility for monitoring compliance of ethics training for elected and appointed officials. At a minimum, documentation from participants should include a certificate of completion (with the sponsor, program title, date of program, and number of continuing professional education (CPE) hours), name of instructor, outline of the presentation, and relevant program materials. Records should be maintained for five years.

Conclusion and Recommendations

Our compliance audit of elected and appointed officials attending an ethics in government program training found the following complied with P.L. 28-76:

- All elected executive and legislative branch officials, including the Governor, Lt. Governor, Attorney General, Public Auditor, 15 members of the 36th Guam Legislature, and the six elected Guam Education Board officials;
- All 19 elected mayors and six vice mayors;
- Four CCU Commissioners; and
- 286 appointed positions serving in various capacities as agency heads, board or commission members.

P.L. 28-76 established an ethics in government program for elected officials and any person who is appointed to a position as board or commission member, including autonomous agencies, director, deputy director, or by whatever title denotes the head and first assistant of a government of Guam agency, department, public corporation, authority, or any other entity of the executive branch.

All elected officials are required to attend an ethics course within 90 days of taking office while appointed officials are required to attend within six months of their appointments and shall undergo the refresher ethics in government program at least once every four years.

Our audit also found limitations with Guam's ethics law. Specifically, there was (1) no one entity monitoring compliance with elected and appointed officials attending the required training, (2) restriction on who should attend the course, and (3) no penalty for non-compliance.

We recommend an entity, such as the Guam Ethics Commission, be responsible for monitoring compliance with the required ethics training. We also recommend there be no restriction on who can participate in an ethics program and that all government employees, in particular mid-level managers and procurement officials, be required to attend a basic ethics-training program.

As a quick-response audit, we did not include views of responsible officials in this report, however, we sent a transmittal on May 2021 and their response was not included in this report. We wish to express our appreciation to the elected and appointed officials for their cooperation with this report.

OFFICE OF PUBLIC ACCOUNTABILITY



Benjamin J.F. Cruz
Public Auditor

Appendix 1: Objective, Scope, and Methodology

The objective of our audit was to determine which elected and appointed officials attended the ethics in government program training required by P.L. 28-76.

Our scope covered all incumbent elected and appointed officials in the executive and legislative branches of government as of March 31, 2021 and the most recent ethics training they attended. The Chief Justice of the Supreme Court has jurisdiction and governance over the Judiciary in matters of ethics; therefore, the ethics in government training requirement did not apply to the judicial branch, and likewise not part of the audit scope.

Scope Limitation

Our report did not apply any audit procedures to substantiate the information provided by the elected and appointed officials or the accuracy of the list of officials provided by the Office of the Governor.

Methodology

To accomplish our objective, we reviewed laws, policies, and prior audits related to the ethics in government training program.

1. Obtained an understanding of the ethics in government training program.
2. Obtained and analyzed data from the Governor's Office relative to the list of appointed agency heads and board members.
3. Obtained and reviewed a list of elected officials from the Guam Election Commission and determined which officials were required to take the ethics in government program training.
4. Requested from all department and agency heads, boards and commissions for a copy of their certificate of attendance to an ethics in government program training.
5. Reviewed the information on the certificates and ensured it referred to the names of the officials reported from the information provided.
6. Accumulated all the data in an Excel spreadsheet to determine which elected and appointed officials attended the required ethics in government program training to include the following:
 - a. Executive Branch
 - b. Line Agencies
 - c. Autonomous Agencies
 - d. Mayors' Council of Guam
 - e. Office of Public Accountability
 - f. Office of the Attorney General
 - g. 36th Guam Legislature
 - h. Boards and Commissions

We noted that certain appointed positions served on multiple boards or commissions and were duplicated in the count. The count was based on the number of appointed positions who had an ethics-training certificate.

We conducted this audit in accordance with Generally Accepted Auditing Standards issued by the Comptroller General of the United States. These standards require that we plan and perform this audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objective.

Appendix 2: Prior Audit Coverage

OPA Report No. 06-08: Ethics in Government Program As Mandated by Public Law 28-76 (Issued in July 2006)

In April 2006, the Guam Office of the Public Auditor co-sponsored a training with the US Graduate School to comply with P.L. 28-76, which established an ethics in government program for elected officials and any person who is appointed to a position as board or commission member, including autonomous agencies, director, deputy director, or by whatever title denotes the head and first assistant of a government of Guam agency, department, public corporation, authority, or any other entity of the executive branch. The OPA was designated to develop standards for the ethics program until the Guam Ethics Commission was appointed and functioning. All elected officials were required to attend an ethics course within 90 days of taking office while appointed officials are required to attend within six months of their appointment and shall undergo the refresher ethics in government program at least once every four years. The law took effect on January 1, 2007.

Ethics in Government Program As Mandated by P.L. 28-76

Public Law 28-76 codified the ethics in government program in Title 4, Chapter 15 of the Guam Code Annotated. Source: <http://www.guamcourts.org/CompilerofLaws/GCA/04gca/4gc015.PDF>

§ 15409. Applicability of Ethics in Government Program.

(a) Any person who is an elected official of the government of Guam shall attend an ethics in government program within ninety (90) days of taking office. Additionally, elected officials shall undergo refresher ethics in government program at least once every four (4) years. The Guam Ethics Commission (“Commission”) may grant permission for an elected official to attend a later program for good cause shown. The Commission shall award a certificate of completion to those completing the program.

(b) Any person who is appointed to a position as board or commission member, including autonomous agencies, director, deputy director, or by whatever title denotes the head and first assistant of a government of Guam agency, department, public corporation, authority, or any other entity of the executive branch, shall attend an ethics in government program within the first six (6) months of his or her appointment. Additionally, appointed officials shall undergo refresher ethics in government program at least once every four (4) years. The Commission may grant permission for an appointed official to attend a later program for good cause shown. The Commission shall award a certificate of completion to those completing the program.

§ 15410. Ethics in Government Program Guidelines. The ethics in government program shall be provided by the University of Guam (“UOG”) or other providers. The Public Auditor shall develop standards for the ethics program until the Guam Ethics Commission is appointed and functioning, at which time the Commission shall assume authority to develop the standards. The providers shall repeat the course as necessary to accommodate those who are required to attend. The course shall not exceed four (4) hours and shall be available in a single day and its scheduling shall accommodate the different work schedules of the persons affected by this Act.

(a) The program topics shall include, but not be limited to:

- (1) Guam statutes concerning ethics;
- (2) Guam statutes concerning lobbying;
- (3) Group participation in discussing, analyzing, and solving general ethics-related dilemmas;
- (4) Guam procurement laws and regulations;
- (5) Guam contracting laws and regulations;
- (6) Parliamentary procedure;
- (7) Fiduciary responsibility;
- (8) Personnel policy;
- (9) Government finance; and
- (10) Open Government Law and Sunshine Reform Act of 1999.

Ethics in Government Program As Mandated by P.L. 28-76

(b) The cost of tuition, books and training materials for the ethics in government program of the officials specified in §15409(b) of this Chapter, shall be paid by the agency that employs the official.

§ 15411. Judicial Branch. The Chief Justice of the Supreme Court shall have jurisdiction and governance over all justices and judges of the judicial branch in matters of ethics as covered in this Chapter 15.

Appendix 4:
Compliance Listing of Elected Officials

POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Governor	Lourdes A. Leon Guerrero	✓	4-Jan-19	UOG School of Business and Public Administration
Lieutenant Governor	Joshua F. Tenorio	✓	4-Jan-19	UOG School of Business and Public Administration
Attorney General	Leevin Camacho	✓	29-Jan-19	UOG Global Learning and Engagement
Public Auditor	Benjamin J.F. Cruz	✓	29-Jan-19	UOG Professional and International Programs

36th Guam Legislature				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Speaker	Therese M. Terlaje	✓	3-Mar-21	UOG Global Learning and Engagement
Vice-Speaker	Tina Muna-Barnes	✓	3-Mar-21	UOG Global Learning and Engagement
Senator	Amanda Shelton	✓	29-Jan-19	UOG Professional and International Programs
Senator	Telena Nelson	✓	3-Mar-21	UOG Global Learning and Engagement
Senator	Sabina F. Perez	✓	3-Mar-21	UOG Global Learning and Engagement
Senator	Joe S. San Agustin	✓	3-Mar-21	UOG Global Learning and Engagement
Senator	Clynton Ridgell	✓	29-Jan-19	UOG Professional and International Programs
Senator	Jose Terlaje	✓	3-Mar-21	UOG Global Learning and Engagement
Senator	James Moylan	✓	29-Jan-19	UOG Global Learning and Engagement
Senator	Frank Blas, Jr.	✓	3-Feb-21	UOG Global Learning and Engagement
Senator	Mary Camacho Torres	✓	11-Mar-21	UOG Global Learning and Engagement
Senator	Vicente Anthony B. Ada	✓	3-Feb-21	UOG Global Learning and Engagement

**Appendix 4:
Compliance Listing of Elected Officials**

36th Guam Legislature				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Senator	Telo Taitague	✓	29-Jan-19	UOG Professional and International Programs
Senator	Joanne Brown	✓	3-Feb-21	UOG Global Learning and Engagement
Senator	Christopher M. Duenas	✓	3-Feb-21	UOG Global Learning and Engagement

Mayors' Council of Guam				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Mayor	Paul M. McDonald	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Kevin J.T. Susuico	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Christopher J.T. Fejeran	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Frankie A. Salas	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	June U. Blas	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Jessie P. Bautista	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Jessy C. Gogue	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Melissa B. Savares	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Peter John S. Benavente	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	John A. Cruz	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Anthony P. Chargualaf	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Allan R.G. Ungacta	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Kevin A.N. Delgado	✓	16-Dec-20	UOG School of Business and Public Administration

Appendix 4:
Compliance Listing of Elected Officials

Mayors' Council of Guam				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Mayor	Ernest T. Chargualaf	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Rudy A. Paco	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Jesse L.G. Alig	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Dale E. Alvarez	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Robert R.D.C. Hofmann	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Vicente S. Taitague	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Louise C. Rivera	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Albert M. Toves	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Johnny A. Quinata	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Anthony P. Sanchez	✓	16-Dec-20	UOG School of Business and Public Administration
Vice Mayor	Loreto V. Leones	✓	16-Dec-20	UOG School of Business and Public Administration
Mayor	Bill A. Quenga	✓	16-Dec-20	UOG School of Business and Public Administration

Appendix 4:
Compliance Listing of Elected Officials

Consolidated Commission on Utilities				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
Chairman	Joseph T. Duenas	✓	2-Mar-19	UOG School of Business and Public Administration
Commissioner	Francis E. Santos	✓	2-Mar-19	UOG School of Business and Public Administration
Commissioner	Simon A. Sanchez II	✓	23-Mar-19	UOG School of Business and Public Administration
Commissioner	Michael Limtiaco	✓	2-Mar-19	UOG School of Business and Public Administration

Elected Guam Education Board				
POSITION	OFFICIAL	Attended Ethics Training	Date Attended	Sponsor
CHAIR (Elected)	Mark B Mendiola	✓	13-Mar-21	UOG Global Learning and Engagement
Vice-Chair (Elected)	Mary A.Y. Okada	✓	13-Mar-21	UOG Global Learning and Engagement
Elected Member	Peter Alexis D. Ada	✓	13-Mar-21	UOG Global Learning and Engagement
Elected Member	Lourdes M Benavente	✓	13-Mar-21	UOG Global Learning and Engagement
Elected Member	Robert Crisostomo	✓	13-Mar-21	UOG Global Learning and Engagement
Elected Member	Maria A Gutierrez	✓	22-Mar-21	UOG Global Learning and Engagement

List of Guam Boards, Commissions, and Appointments

GUAM BOARDS, COMMISSIONS, APPOINTMENTS, AND ELECTED OFFICIALS

1. 36th Guam Legislature
2. A.B. Won Pat International Airport Authority Board of Directors
3. Agricultural Board of Commissioners
4. Alcohol Beverage Control Board
5. Bureau of Budget and Management Research
6. Bureau of Statistics and Plans, Director
7. Bureau of Women's Affairs
8. Cannabis Control Board
9. CHamoru Land Trust Commission
10. CHamoru Registry Advisory Board
11. Civil Service Commission Board of Commissioners
12. Civilian Aid to the Secretary of the Army (CASA)
13. Cockpit License Board
14. Commission on CHamoru Language and the Teaching of the History and Culture of the Indigenous People of Guam
15. Commission on Decolonization for the Implementation and Exercise of CHamoru Self Determination
16. Commission on Status of Women
17. Consolidated Commission on Utilities
18. Council on Mental Health and Substance aka the Advisory Council (GBHWC)
19. Council on Post-Secondary Institution Certification
20. Council on the Arts and Humanities Agency (CAHA)
21. Criminal Injuries Compensation Commission
22. Decolonization Registry Board
23. Department of Administration
24. Department of Agriculture
25. Department of CHamoru Affairs Board of Trustees (Dipattamenton I Kaohao Guinahan CHamoru)
26. Department of Corrections
27. Department of Integrated Services for Individuals with Disabilities (DISID)
28. Department of Labor
29. Department of Land Management
30. Department of Parks and Recreation
31. Department of Public Health and Social Services
32. Department of Public Works
33. Department of Revenue and Taxation
34. Department of Youth Affairs
35. Government of Guam Retirement Fund Board of Trustees
36. Grand Prix Commission

List of Guam Boards, Commissions, and Appointments

37. Guam Academy Charter Schools Council
38. Guam Ancestral Lands Commission
39. Guam Banking and Insurance Board
40. Guam Behavioral Health and Wellness Center
41. Guam Behavioral Health Planning Council
42. Guam Board of Accountancy
43. Guam Board of Allied Health Examiners
44. Guam Board of Barbering and Cosmetology
45. Guam Board of Equalization
46. Guam Board of Examiners for Dentistry
47. Guam Board of Examiners for Optometry
48. Guam Board of Examiners for Pharmacy
49. Guam Board of Medical Examiners
50. Guam Board of Nurse Examiners
51. Guam Board of Registration for Professional Engineers, Architects, and Land Surveyors (PEALS)
52. Guam Board of Social Work
53. Guam Building Code Council
54. Guam Commission for Educator Certification
55. Guam Community College
56. Guam Contractors License Board
57. Guam Council on Youth Affairs
58. Guam Customs and Quarantine Agency, Director
59. Guam Department of Education
60. Guam Developmental Disabilities Council
61. Guam Early Learning Council
62. Guam Economic Development Authority (GEDA) Board of Directors
63. Guam Educational Telecommunications Corporation (KGTF/PBS)
64. Guam eHealth Collaborative
65. Guam Election Commission
66. Guam Emergency Medical Service Commission
67. Guam Environmental Protection Agency Board of Directors
68. Guam Ethics Commission
69. Guam Fire Department, Fire Chief
70. Guam First Commission Advisory Council
71. Guam Health Coordinating Council
72. Guam Highway Commission
73. Guam Historic Preservation Review Board
74. Guam Housing and Urban Renewal Authority (GHURA), Board of Commissioners
75. Guam Housing Corporation Board of Directors
76. Guam Interagency Coordinating Council

List of Guam Boards, Commissions, and Appointments

77. Guam Invasive Species Council
78. Guam Juvenile Justice Advisory Committee
79. Guam Land Use Commission
80. Guam Memorial Hospital Authority (GMHA) Board of Trustees
81. Guam Mental Health Planning Council
82. Guam Multi-Purpose Community Stadium Complex Commission
83. Guam Ocean and Fisheries Management Council
84. Guam Pardon Review Board
85. Guam Parole Board
86. Guam Planning Council
87. Guam Police Department, Chief of Police
88. Guam Power Authority
89. Guam Preservation Trust Fund Board of Directors
90. Guam Procurement Advisory Council*
91. Guam Product Seal Task Force*
92. Guam Public Library System Board of Directors
93. Guam Real Estate Commission
94. Guam Regional Transit Authority Board of Directors
95. Guam Science & Technology Committee
96. Guam Seashore Protection Commission
97. Guam Solid Waste Authority Board of Directors
98. Guam South Pacific Games Commission
99. Guam State Apprenticeship Council (PL 32-230)
100. Guam Statewide Independent Living Council
101. Guam System of Care Council
102. Guam Transportation Commission
103. Guam Unarmed Combat Commission
104. Guam Veterans Commission
105. Guam Visitors Bureau Board of Directors
106. Guam War Reparation Commission
107. Guam Waterworks Authority
108. Guam Workforce Development Board
109. *Guma Honra* Commission
110. Hagatna Restoration and Redevelopment Authority Board of Commissioners
111. Islandwide Beautification Task Force
112. Joint Education Board (Tri-Board)
113. *Komitea Para Tiyan*
114. *Kosas* Board of Advisors
115. *Kottura* Board of Advisors
116. Mayors' Council of Guam
117. Medical Cannabis Regulation Commission

List of Guam Boards, Commissions, and Appointments

- 118. Mixed Martial Arts Commission
- 119. Northern & Southern Guam Soil and Water Conservation District, Board of Directors
- 120. Office of Public Accountability
- 121. Office of the Attorney General
- 122. Office of the Governor
- 123. Pacific Island Development Bank Board of Directors
- 124. Port Authority of Guam Board of Directors
- 125. Post Mortem Commission
- 126. Prevention Education and Community Empowerment (PEACE) Council
- 127. Procurement Appeals Board
- 128. Public Utilities Commission
- 129. Rehabilitation Advisory Council for Division of Vocational Rehabilitation aka Guam State Rehabilitation Council (SRC)
- 130. Reverend Dr. Martin Luther King, Jr. Human & Civil Rights Commission
- 131. Safety Review Board
- 132. Serve Guam Commission
- 133. University of Guam Board of Regents
- 134. Veterans Cemetery Task Force
- 135. Western Interstate Commission for Higher Education (WICHE)
- 136. Western Pacific Regional Fishery Management Council
- 137. Workers' Compensation Commission

Appendix 6:

Compliance Listing of Guam Boards, Commissions, and Appointments

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM	John "JQ" M. Quinata	Executive Manager	✓	4-Jan-19	UOG School of Business and Public Administration
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM	Artemio "Ricky" Hernandez, Ph.D.	Deputy Executive Manager	✓	4-Jan-19	UOG School of Business and Public Administration
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Brian Bamba	CHAIR	✓	22-Mar-21	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Donald Weakley, Sr.	Secretary	✓	22-Mar-21	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Doyon A Morato	Member	✓	15-Mar-21	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Gurvinder S. Sobti	Vice-Chairman	✓	13-Mar-21	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Lucy Alcorn	Member	✓	15-Mar-21	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Rosie R. Tainatongo	Member	✓	11-Dec-19	UOG Global Learning and Engagement
A.B. WON PAT INTERNATIONAL AIRPORT, GUAM - BOARD OF DIRECTORS	Zenon E Belanger	Member	✓	22-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
BUREAU OF BUDGET AND MANAGEMENT RESEARCH	Lester Carlson, Jr.	Director	✓	4-Jan-19	UOG School of Business and Public Administration
BUREAU OF STATISTICS AND PLANS	Matthew G. Santos	Deputy Director	✓	13-Mar-21	UOG Global Learning and Engagement
BUREAU OF STATISTICS AND PLANS	Tyrone J. Taitano	Director	✓	4-Jan-19	UOG School of Business and Public Administration
CANNABIS CONTROL BOARD	Arthur U. San Agustin	DPHSS	✓	13-Mar-21	UOG Global Learning and Engagement
CANNABIS CONTROL BOARD	Carl TC Gutierrez	GVB	✓	17-Mar-21	Guam Visitors Bureau
CANNABIS CONTROL BOARD	Chelsa Muna-Brecht	Agriculture	✓	4-Jan-19	UOG School of Business and Public Administration
CANNABIS CONTROL BOARD	Dafne Shimizu	DRT	✓	4-Jan-19	UOG School of Business and Public Administration
CANNABIS CONTROL BOARD	Stephen Ignacio	GPD	✓	15-Mar-21	UOG Global Learning and Engagement
CHAMORU LAND TRUST COMMISSION	Jack E. Hattig, III	Administrative Director	✓	29-Jan-19	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	Anthony P. Benavente	Commissioner	✓	11-Mar-21	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	Daniel D. Leon Guerrero	Executive Director	✓	4-Jan-19	UOG School of Business and Public Administration
CIVIL SERVICE COMMISSION	Emilia F. Rice	Commissioner	✓	11-Mar-21	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	Eric Miller	Administrative Counsel	✓	11-Mar-21	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	John A. Smith	Commissioner	✓	11-Mar-21	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	Juan K. Calvo	CHAIR	✓	11-Mar-21	UOG Global Learning and Engagement
CIVIL SERVICE COMMISSION	Priscilla T. Tuncap	Commissioner	✓	11-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
CIVIL SERVICE COMMISSION	Robert C. Taitano	Commissioner	✓	11-Mar-21	UOG Global Learning and Engagement
COMMISSION ON CHAMORU LANGUAGE AND THE TEACHING OF THE HISTORY AND CULTURE OF THE INDIGENOUS PEOPLE OF GUAM	Melvin Won Pat-Borja	DCA President	✓	15-Mar-21	UOG Global Learning and Engagement
COMMISSION ON DECOLONIZATION FOR THE IMPLEMENTATION AND EXERCISE OF CHAMORU SELF-DETERMINATION	Gov Lourdes Leon Guerrero	CHAIR	✓	4-Jan-19	UOG School of Business and Public Administration
COMMISSION ON DECOLONIZATION FOR THE IMPLEMENTATION AND EXERCISE OF CHAMORU SELF-DETERMINATION	Mayor Robert Hofmann	Commissioner	✓	16-Dec-20	UOG School of Business and Public Administration
COMMISSION ON DECOLONIZATION FOR THE IMPLEMENTATION AND EXERCISE OF CHAMORU SELF-DETERMINATION	Melvin Won Pat Borja	Executive Director	✓	15-Mar-21	UOG Global Learning and Engagement
COMMISSION ON DECOLONIZATION FOR THE IMPLEMENTATION AND EXERCISE OF CHAMORU SELF-DETERMINATION	Sen. Telena C. Nelson	Vice-Chair	✓	3-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Donna Kloppenburg	Member	✓	15-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Dr. Judith S. Flores	Member (Visual Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Francis J. Guerrero	CHAIR	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Francisco Rabon	Member (Folk Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Joseph D. Certeza	Member	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Maximo Ronquillo, Jr.	Member (Performing Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Mayor Jessy C. Gogue	Member (MCOG)	✓	16-Dec-20	UOG School of Business and Public Administration
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Patricia L. Krise	Member	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Rolando C. Zepeda	Member (Visual Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Simone Bollinger	Member (Literature Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA) BOARD	Vincent J. Reyes	Member (Performing Arts)	✓	15-Mar-21	UOG Global Learning and Engagement
CRIMINAL INJURIES COMPENSATION COMMISSION	Denise M. Hertslet	Commissioner	✓	22-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
CRIMINAL INJURIES COMPENSATION COMMISSION	<i>Pâle'</i> Michael C. Crisostomo	CHAIR	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF ADMINISTRATION	Bernadine Gines	Deputy Director	✓	13-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF ADMINISTRATION	Edward M. Birn	Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENT OF AGRICULTURE	Adrian P. Cruz	Deputy Director	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF AGRICULTURE	Chelsa D. Muna-Brecht	Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENT OF CHAMORU AFFAIRS	Melvin Won Pat Borja	Acting President	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF CHAMORU AFFAIRS - BOARD OF TRUSTEES	Darlene Taitano	Member (At-Large)	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF CHAMORU AFFAIRS - BOARD OF TRUSTEES	Dina Hernandez	Treasurer (Tourism)	✓	17-Mar-21	Guam Visitors Bureau
DEPARTMENT OF CORRECTIONS	Joseph S. Carbullido	Director	✓	13-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF CORRECTIONS	Robert D. Camacho	Deputy Director	✓	22-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF INTEGRATED SERVICES FOR INDIVIDUALS WITH DISABILITIES	Michelle L.C. Perez	Deputy Director	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF INTEGRATED SERVICES FOR INDIVIDUALS WITH DISABILITIES	Phyliss Leon Guerrero	Director	✓	15-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
DEPARTMENT OF LABOR	Gerard Toves	Deputy Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENT OF LAND MANAGEMENT	Joseph M. Borja	Director	✓	11-Dec-19	UOG Professional and International Programs
DEPARTMENT OF PUBLIC HEALTH & SOCIAL SERVICES	Arthur U. San Agustin	Director	✓	13-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF PUBLIC HEALTH & SOCIAL SERVICES	Terry Aguon	Deputy Director	✓	13-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF PUBLIC WORKS	Vincent P. Arriola	Director	✓	15-Mar-21	UOG Global Learning and Engagement
DEPARTMENT OF REVENUE & TAXATION	Dafne M. Shimizu	Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENT OF YOUTH AFFAIRS	Krisinda Calvo Aguon	Deputy Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENT OF YOUTH AFFAIRS	Melanie W. Brennan	Director	✓	4-Jan-19	UOG School of Business and Public Administration
DEPARTMENTS OF PARKS & RECREATION	Roque A. Alcantara	Acting Director	✓	13-Mar-21	UOG Global Learning and Engagement
DEPARTMENTS OF PARKS & RECREATION	Victor Villagomez	Deputy Director	✓	22-Mar-21	UOG Global Learning and Engagement
GOVERNMENT OF GUAM RETIREMENT FUND	Paula M. Blas	Director	✓	24-Jul-19	UOG Professional and International Programs
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	Antolina S Leon Guerrero	VC - Appointed Trustee (PS)	✓	24-Jul-19	UOG Professional and International Programs
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	Artemio R.A. Hernandez	Elected Trustee (A)	✓	4-Jan-19	UOG School of Business and Public Administration
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	David N. Sanford	Apptd Trustee (PS)	✓	3-Feb-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	George A. Santos	Elected Trustee (R)	✓	24-Jul-19	UOG Professional and International Programs
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	Katherine Taitano	SEC -Apptd Trustee - (GG)	✓	24-Jul-19	UOG Professional and International Programs
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	Thomas H. San Agustin	Elected Trustee (A)	✓	29-Jan-19	UOG Professional and International Programs
GOVERNMENT OF GUAM RETIREMENT FUND - BOARD OF TRUSTEES	Wilfred P. Leon Guerrero	CHAIR Elected Trustee (R)	✓	24-Jul-19	UOG Professional and International Programs
GUAM ACADEMY CHARTER SCHOOLS COUNCIL	Evangeline M. Cepeda	CHAIR (GenPub)	✓	11-Dec-19	UOG Global Learning and Engagement
GUAM ACADEMY CHARTER SCHOOLS COUNCIL	Jennifer B. Sanchez	VC (Acctg/Fin)	✓	11-Dec-19	UOG Global Learning and Engagement
GUAM ANCESTRAL LANDS COMMISSION	John Burch	Executive Director	✓	27-Jul-19	UOG School of Business and Public Administration
GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER	Carissa Pangelinan	Deputy Director	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM BEHAVIORAL HEALTH PLANNING COUNCIL	Jermaine Alerta	DD Council	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM BEHAVIORAL HEALTH PLANNING COUNCIL	Phyliss Leon Guerrero	DISID	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF ACCOUNTANCY	Dafne Shimizu	Ex-Officio (DRT Director)	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM BOARD OF ACCOUNTANCY	Francis Quinto Baba	Tres/Sec	✓	3-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF ACCOUNTANCY	Jennie L. Chiu	Member	✓	17-Feb-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM BOARD OF ACCOUNTANCY	John E. Onedera	CHAIR	✓	3-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF ACCOUNTANCY	Taling Taitano	Member	✓	17-Feb-21	UOG Global Learning and Engagement
GUAM BOARD OF ACCOUNTANCY	Todd S. Smith	Vice-Chair	✓	17-Feb-21	UOG Global Learning and Engagement
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Cathyann C. Gogue	Public Board Member	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Cedric Q.T. Cruz	Sec/Treas (Architect)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Maria E V Cristi	CHAIR (Engineer)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Masoud Teimoury	Member (Engineer)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Paul L. Santos, PLS	V-Chair (Land Surveyor)	✓	13-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS ARCHITECTS AND LAND SURVEYORS (PEALS)	Vince Arriola	DPW Director Member	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM BUILDING CODE COUNCIL	Vincent P. Arriola	Ex-Officio (DPW)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM COMMISSION FOR EDUCATOR CERTIFICATION	Mark Mendiola	Ex-Officio & NV Member	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMISSION FOR EDUCATOR CERTIFICATION	Jon Fernandez	Ex-Officio & NV Member	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMISSION FOR EDUCATOR CERTIFICATION	Mary Okada	Ex-Officio & NV Member	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMISSION FOR EDUCATOR CERTIFICATION	Dr. Thomas Krise	Ex-Officio & NV Member	✓	20-Feb-20	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE	Mary A. Okada	President	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE	Rodalyn A. Gerardo	Vice President	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE	Virginia C. Tudela	Vice President	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	Carlo M. Leon Guerrero	Trustee	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	Deborah C. Belanger	Trustee	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	Eloy P. Hara	Treasurer	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	Frank P. Arriola	CHAIR (Business)	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	Rose P. Grino	Secretary	✓	13-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM CONTRACTORS LICENSE BOARD	Dafne Shimizu	Ex - Officio (DRT Director)	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM CONTRACTORS LICENSE BOARD	Vincent P. Arriola	Ex-Officio (DPW Director)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM CUSTOMS & QUARANTINE AGENCY	Ignacio Q. Peredo	Director	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM DEPARTMENT OF EDUCATION	Jon Fernandez	Superintendent	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM DEVELOPMENTAL DISABILITIES COUNCIL	Jermaine Alerta	Executive Director	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM DEVELOPMENTAL DISABILITIES COUNCIL	Phyliss Leon Guerrero	State Agency Rep (DISID)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY	Joann G. Camacho	Deputy Administrator	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY	Melanie Mendiola	Administrator	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	David J. John	CHAIR	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	Ernesto V. Espaldon, Jr.	Vice-Chairman	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	Fe Valencia-Ovalles	Member	✓	11-Dec-19	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	George Chiu	Member	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	Gia B. Ramos	Secretary	✓	15-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM ECONOMIC DEVELOPMENT AUTHORITY - BOARD OF DIRECTORS	Siska Hutapea	Member	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM EDUCATION BOARD	Dr. Ronald L. McNinch	Apptd Member (Priv Bus)	✓	27-Jul-19	UOG School of Business and Public Administration
GUAM EDUCATION BOARD	Felicitas Angel	Apptd Member (Retired DOE)	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM EDUCATION BOARD	Karlynn RCG Borja	Apptd Member (Parent)	✓	27-Jul-19	UOG School of Business and Public Administration
GUAM EDUCATION BOARD	Mayor Melissa Savares	NV Member (MCOG)	✓	16-Dec-20	UOG School of Business and Public Administration
GUAM ELECTION COMMISSION	Alice M. Taijeron	CHAIR	✓	29-Jan-21	UOG Professional and International Programs
GUAM ELECTION COMMISSION	Antonia Gumataotao	Commissioner	✓	29-Jan-19	UOG Professional and International Programs
GUAM ELECTION COMMISSION	Benny Pinaula	CHAIR	✓	3-Feb-21	UOG Global Learning and Engagement
GUAM ELECTION COMMISSION	Carissa E. Pangelinan	Commissioner	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM ELECTION COMMISSION	Gerard Crisostomo	Commissioner	✓	29-Jan-19	UOG Professional and International Programs
GUAM ELECTION COMMISSION	Joseph P. Mafnas	Commissioner	✓	29-Jan-19	UOG Professional and International Programs
GUAM ELECTION COMMISSION	Maria Pangelinan	Executive Director	✓	26-Dec-19	UOG Global Learning and Engagement
GUAM ELECTION COMMISSION	Patrick Civile	Commissioner	✓	3-Feb-21	UOG Global Learning and Engagement
GUAM ENVIRONMENTAL PROTECTION AGENCY	Walter Leon Guerrero	Administrator	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM ETHICS COMMISSION	Christopher A. Cruz	Commissioner	✓	11-Dec-19	UOG Professional and International Programs

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM ETHICS COMMISSION	Jesse Quenga	Executive Director	✓	11-Dec-19	UOG Global Learning and Engagement
GUAM ETHICS COMMISSION	Margaret R. Tyquiengco	Commissioner	✓	26-Dec-19	UOG Global Learning and Engagement
GUAM ETHICS COMMISSION	Marilyn R. Borja	Vice-Chairperson	✓	11-Dec-19	UOG Professional and International Programs
GUAM ETHICS COMMISSION	Robert S. Jack	Commissioner	✓	11-Dec-19	UOG Professional and International Programs
GUAM ETHICS COMMISSION	Shannon J. Murphy	CHAIR	✓	11-Dec-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Anisia Delia	Commissioner	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Elizabeth F. Napoli	Deputy Executive Director	✓	21-Oct-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Frankie T. Ishizaki	Commissioner	✓	21-Oct-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	George F. Pereda	Commissioner	✓	21-Oct-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Monica O Guzman	Vice-Chair	✓	21-Oct-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Ray S. Topasna	Executive Director	✓	21-Oct-19	UOG Professional and International Programs
GUAM HOUSING AND URBAN RENEWAL AUTHORITY (GHURA)	Sabino P. Flores	CHAIR	✓	21-Oct-19	UOG Professional and International Programs

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM HOUSING CORPORATION	Angela M. Camacho	Manager	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION	Edith Pangelinan	President	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Francisco A. Florig	CHAIR	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Sandra F. Bordallo	Director	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Cecilia G. Mantanona	Director	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Doreen T. Crisostomo	Director	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Gabriel A. Jugo	Director	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Lanita B. Tiong	Director	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM HOUSING CORPORATION - BOARD OF DIRECTORS	Tomas L.G. Flores	Vice-Chair	✓	22-Mar-21	UOG Global Learning and Engagement
GUAM INVASIVE SPECIES COUNCIL	Chelsa Muna-Brecht	CHAIR	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM INVASIVE SPECIES COUNCIL	John Quinata	GIAA	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM INVASIVE SPECIES COUNCIL	Roke Alcantara	DPR	✓	13-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM INVASIVE SPECIES COUNCIL	Rory J Respicio	PAG	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM INVASIVE SPECIES COUNCIL	Tyrone Taitano	BSP - Non Voting	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM LAND USE COMMISSION	Dr. Anita B. Enriquez	Chairperson	✓	20-Feb-20	UOG Global Learning and Engagement
GUAM MEMORIAL HOSPITAL AUTHORITY	Lillian Posadas	Administrator	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM PARDON REVIEW BOARD	Fr. Mike Crisostomo	Clergy	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM PARDON REVIEW BOARD	Joseph S. Carbullido	DOC Director, Actg	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM PARDON REVIEW BOARD	Leevin T Camacho	Atty Gen.	✓	29-Jan-19	UOG Global Learning and Engagement
GUAM PARDON REVIEW BOARD	Stephen J. Guerrero	CHAIR	✓	3-Mar-21	UOG Global Learning and Engagement
GUAM PAROLE BOARD	Stephen J. Guerrero	CHAIR (Public-At-Large)	✓	3-Mar-21	UOG Global Learning and Engagement
GUAM POLICE DEPARTMENT	Stephen Ignacio	Chief of Police	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM POWER AUTHORITY	Beatrice Limtiaco	Assistant General Manager	✓	2-Mar-19	UOG School of Business and Public Administration
GUAM POWER AUTHORITY	John M. Benavente	General Manager	✓	23-Mar-19	UOG School of Business and Public Administration
GUAM POWER AUTHORITY	Melinda Mafnas	Assistant General Manager	✓	23-Mar-19	UOG School of Business and Public Administration
GUAM PRODUCT SEAL TASK FORCE	Ignacio Peredo	C&Q	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM PRODUCT SEAL TASK FORCE	Leevin Camacho	OAG	✓	29-Jan-19	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM PRODUCT SEAL TASK FORCE	Lt. Gov J. Tenorio	CHAIR	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM PRODUCT SEAL TASK FORCE	Melanie Mendiola	Vice-Chairperson (GEDA)	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM PUBLIC LIBRARY SYSTEM	Melvin Won Pat Borja	Acting Director	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM REAL ESTATE COMMISSION	Dafne Shimizu	Real Estate Commissioner	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM REGIONAL TRANSIT AUTHORITY	Celestin Babauta	Executive Director	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM REGIONAL TRANSIT AUTHORITY - BOARD OF DIRECTORS	Bermadette D. Wiemann	Member (Gov's Appointee)	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM REGIONAL TRANSIT AUTHORITY - BOARD OF DIRECTORS	Mayor Kevin JT Susuico	Member (MCOG)	✓	16-Dec-20	UOG School of Business and Public Administration
GUAM REGIONAL TRANSIT AUTHORITY - BOARD OF DIRECTORS	Mayor Rudy A. Paco	Member (MCOG)	✓	16-Dec-20	UOG School of Business and Public Administration
GUAM VISITORS BUREAU	Carl T.C. Gutierrez	General Manager	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU	Gerald S.A. Perez	Deputy Manager	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Benjamin Ferguson	Elected Director	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Charles Bell	Elected Director	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Derrick M. Quinata	Legislative Director (Alt)	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Flori-Anne Dela Cruz	Appointed Youth Director	✓	17-Mar-21	Guam Visitors Bureau

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Ho S. Eun	Legislative Director	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Jeffrey Jones	Elected Director	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Laura Nelson-Cepeda	Legislative Director	✓	15-Apr-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Milton Morinaga	CHAIR	✓	17-Mar-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Paul SN Shimizu	Vice-Chairman	✓	15-Apr-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Pedro P. Ada	Appointed Director (Governor)	✓	15-Apr-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Rudy Paco	Appointed Director (MCOG)	✓	16-Dec-20	UOG School of Business and Public Administration
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Samuel Shinohara	Board Elected	✓	15-Apr-21	Guam Visitors Bureau
GUAM VISITORS BUREAU - BOARD OF DIRECTORS	Stephen Gatewood	Elected Director	✓	15-Apr-21	Guam Visitors Bureau
GUAM WATERWORKS AUTHORITY	Christopher Michael Budasi	Assistant General Manager	✓	23-Mar-19	UOG School of Business and Public Administration
GUAM WATERWORKS AUTHORITY	Kelly Clark	Legal Counsel	✓	23-Mar-19	UOG Global Learning and Engagement
GUAM WATERWORKS AUTHORITY	Miguel Bordallo	General Manager	✓	2-Mar-19	UOG School of Business and Public Administration
GUAM WATERWORKS AUTHORITY	Paul J. Kemp	Assistant General Manager	✓	15-Mar-21	UOG Global Learning and Engagement
GUAM WATERWORKS AUTHORITY	Taling M. Taitano	Chief Financial Officer	✓	17-Feb-21	UOG Global Learning and Engagement
GUAM WATERWORKS AUTHORITY	Thomas F. Cruz	Assistant General Manager	✓	22-Mar-19	UOG Professional and International Programs

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
GUAM WORKFORCE DEVELOPMENT BOARD <i>(formerly known as Guam Workforce Investment Board)</i>	Lourdes A. Leon Guerrero	Governor of Guam	✓	4-Jan-19	UOG School of Business and Public Administration
GUAM WORKFORCE DEVELOPMENT BOARD <i>(formerly known as Guam Workforce Investment Board)</i>	Mary Okada	GCC	✓	13-Mar-21	UOG Global Learning and Engagement
GUAM WORKFORCE DEVELOPMENT BOARD <i>(formerly known as Guam Workforce Investment Board)</i>	Phyliss L. Leon Guerrero	DISID/DVR	✓	15-Mar-21	UOG Global Learning and Engagement
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	Carl TC Gutierrez	Ex-Officio/GVB	✓	17-Mar-21	Guam Visitors Bureau
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	John Cruz	Hagatna Mayor	✓	16-Dec-20	UOG School of Business and Public Administration
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	Phyliss Leon Guerrero	Ex-Officio/DISID	✓	15-Mar-21	UOG Global Learning and Engagement
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	Roque Alcantara	Ex-Officio/DPR	✓	13-Mar-21	UOG Global Learning and Engagement
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	Vincent P. Arriola	Ex-Officio/DPW	✓	15-Mar-21	UOG Global Learning and Engagement
HAGATNA RESTORATION & REDEVELOPMENT AUTHORITY (HRRA)	Walter Leon Guerrero	Ex-Officio/GEPA	✓	4-Jan-19	UOG School of Business and Public Administration
ISLAND BEAUTIFICATION TASK FORCE	Carl T.C. Gutierrez	Gen Mgr (GVB)	✓	17-Mar-21	Guam Visitors Bureau

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
ISLAND BEAUTIFICATION TASK FORCE	Chelsa Muna-Brecht	Director (DOAg)	✓	4-Jan-19	UOG School of Business and Public Administration
ISLAND BEAUTIFICATION TASK FORCE	Joann G. Camacho	Member	✓	15-Mar-21	UOG Global Learning and Engagement
ISLAND BEAUTIFICATION TASK FORCE	Joseph S. Carbullido	Director (DOC) Acting	✓	13-Mar-21	UOG Global Learning and Engagement
ISLAND BEAUTIFICATION TASK FORCE	Lt. Governor	CHAIR	✓	4-Jan-19	UOG School of Business and Public Administration
ISLAND BEAUTIFICATION TASK FORCE	Mayor Robert Hofmann	V-Chair	✓	16-Dec-20	UOG School of Business and Public Administration
ISLAND BEAUTIFICATION TASK FORCE	Roque Alcantara	Director (DPR)	✓	13-Mar-21	UOG Global Learning and Engagement
ISLAND BEAUTIFICATION TASK FORCE	Vincent P. Arriola	Director (DPW)	✓	15-Mar-21	UOG Global Learning and Engagement
ISLAND BEAUTIFICATION TASK FORCE	Walter Leon Guerrero	Administrator (EPA)	✓	4-Jan-19	UOG School of Business and Public Administration
JOINT EDUCATION TRI-BOARD	Jerold W. Filush	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	21-Jan-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Agapito A. Diaz	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	20-Feb-20	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Anisa Topasna	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Carlo M. Leon Guerrero	GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	✓	13-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
JOINT EDUCATION TRI-BOARD	Deborah C. Belanger	GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Dr. Ronald L. McNinch	GUAM EDUCATION BOARD	✓	27-Jul-19	UOG School of Business and Public Administration
JOINT EDUCATION TRI-BOARD	Eloy P. Hara	GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Felicitas Angel	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Fr. Francis X. Hezel	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	20-Feb-20	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Frank P. Arriola	GUAM COMMUNITY COLLEGE - BOARD OF TRUSTEES	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Karlynn RCG Borja	GUAM EDUCATION BOARD	✓	27-Jul-19	UOG School of Business and Public Administration
JOINT EDUCATION TRI-BOARD	Leslie-Anne Leon Guerrero	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	20-Feb-20	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
JOINT EDUCATION TRI-BOARD	Liza J. Provido	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	21-Jan-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Lourdes M Benavente	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Maria A Gutierrez	GUAM EDUCATION BOARD	✓	22-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Mark B Mendiola	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Mary A.Y. Okada	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Mayor Melissa Savares	GUAM EDUCATION BOARD	✓	16-Dec-20	UOG School of Business and Public Administration
JOINT EDUCATION TRI-BOARD	Mike W. Naholowaa	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	21-Jan-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Peter Alexis D. Ada	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Robert Crisostomo	GUAM EDUCATION BOARD	✓	13-Mar-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Roland S. Certeza	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	21-Jan-21	UOG Global Learning and Engagement
JOINT EDUCATION TRI-BOARD	Rose P. Grino	GUAM COMMUNITY	✓	13-Mar-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
		COLLEGE - BOARD OF TRUSTEES			
JOINT EDUCATION TRI-BOARD	Sandra H. McKeever	UNIVERSITY OF GUAM - BOARD OF REGENTS	✓	21-Jan-21	UOG Global Learning and Engagement
MAYORS' COUNCIL OF GUAM	Angel R. Sablan	Executive Director	✓	16-Dec-20	UOG School of Business and Public Administration
MEDICAL CANNABIS REGULATION COMMISSION	Arthur U. San Agustin	Director, DPHSS	✓	13-Mar-21	UOG Global Learning and Engagement
MEDICAL CANNABIS REGULATION COMMISSION	Chelsa Muna-Brecht	Director, DoAg	✓	4-Jan-19	UOG School of Business and Public Administration
MEDICAL CANNABIS REGULATION COMMISSION	Sen. Therese Terlaje	Chair, Health Cmte	✓	3-Mar-21	UOG Global Learning and Engagement
MEDICAL CANNABIS REGULATION COMMISSION	Walter Leon Guerrero	Administrator, EPA	✓	4-Jan-19	UOG School of Business and Public Administration
OFFICE OF THE GOVERNOR	Alice Tajjeron	Deputy Chief of Staff	✓	4-Jan-19	UOG School of Business and Public Administration
OFFICE OF THE GOVERNOR	Jon Junior Calvo	Chief of Staff	✓	4-Jan-19	UOG School of Business and Public Administration
OFFICE OF THE GOVERNOR	Leslie Travis	Legal Counsel	✓	13-Mar-21	UOG Global Learning and Engagement
OFFICE OF THE GOVERNOR	Sophia Diaz	Legal Counsel	✓	4-Jan-19	UOG School of Business and Public Administration
PORT AUTHORITY OF GUAM	Dominic Muna	Deputy Manager of Operations	✓	4-Jan-19	UOG School of Business and Public Administration
PORT AUTHORITY OF GUAM	Luis R. Baza	Deputy Manager of Administration and Finance	✓	3-Feb-21	UOG Global Learning and Engagement
PORT AUTHORITY OF GUAM	Rory J. Respicio	General Manager	✓	4-Jan-19	UOG School of Business and Public Administration

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
PORT AUTHORITY OF GUAM - BOARD OF DIRECTORS	Dorothy P. Harris	Member	✓	3-Feb-21	UOG Global Learning and Engagement
PORT AUTHORITY OF GUAM - BOARD OF DIRECTORS	Francisco G. Santos	CHAIR	✓	24-Oct-19	UOG Professional and International Programs
PORT AUTHORITY OF GUAM - BOARD OF DIRECTORS	Isa Marie C Koki	Member	✓	22-Mar-19	UOG Global Learning and Engagement
PORT AUTHORITY OF GUAM - BOARD OF DIRECTORS	Nathan T. Taimanglo	Vice-Chairman	✓	15-Nov-17	UOG Professional and International Programs
POST MORTEM COMMISSION	Arthur San Agustin	Director, DPHSS	✓	13-Mar-21	UOG Global Learning and Engagement
POST MORTEM COMMISSION	John Ray Taitano	President, Guam Medical Society	✓	22-Mar-21	UOG Global Learning and Engagement
POST MORTEM COMMISSION	Leevin Camacho	Attorney General	✓	29-Jan-19	UOG Global Learning and Engagement
POST MORTEM COMMISSION	Lillian Posadas	Administrator, GMHA	✓	4-Jan-19	UOG School of Business and Public Administration
POST MORTEM COMMISSION	Stephen Ignacio	Chief of Police	✓	15-Mar-21	UOG Global Learning and Engagement
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Arthur San Agustin	DPHSS	✓	13-Mar-21	UOG Global Learning and Engagement
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Jon Fernandez	GDOE	✓	13-Mar-21	UOG Global Learning and Engagement
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Mayor Robert Hoffman	MCOG	✓	16-Dec-20	UOG School of Business and Public Administration
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Melanie W. Brennan	DYA	✓	4-Jan-19	UOG School of Business and Public Administration

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Sen. Therese Terlaje	Legislative Cmte on HHS	✓	3-Mar-21	UOG Global Learning and Engagement
PREVENTION EDUCATION AND COMMUNITY EMPOWERMENT (PEACE)	Stephen C. Ignacio	GPD	✓	15-Mar-21	UOG Global Learning and Engagement
PUBLIC UTILITIES COMMISSION	Doris F. Brooks	Commissioner - CPA	✓	16-Dec-20	Graduate School
SERVE GUAM COMMISSION	Arthur U San Agustin	GovGuam (NV)	✓	13-Mar-21	UOG Global Learning and Engagement
SERVE GUAM COMMISSION	Mayor Melissa Savares	GovGuam (NV)	✓	16-Dec-20	UOG School of Business and Public Administration
UNIVERSITY OF GUAM	Dr. Anita Borja Enriquez	Senior Vice President	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM	Dr. Thomas Krise	President	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM	Randall Wiegand	Vice President	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Jerold W. Filush	Regent	✓	21-Jan-21	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Agapito A. Diaz	Regent	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Anisa Topasna	Student Regent	✓	13-Mar-21	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Fr. Francis X. Hezel	Regent	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Leslie-Anne Leon Guerrero	Regent	✓	20-Feb-20	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Liza J. Provido	Regent	✓	21-Jan-21	UOG Global Learning and Engagement

DEPARTMENT/AGENCY	APPOINTEE	POSITION	Attended Ethics Training	Date Attended	Sponsor
UNIVERSITY OF GUAM - BOARD OF REGENTS	Mike W. Naholowaa	Regent	✓	21-Jan-21	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Roland S. Certeza	Regent	✓	21-Jan-21	UOG Global Learning and Engagement
UNIVERSITY OF GUAM - BOARD OF REGENTS	Sandra H. McKeever	Regent	✓	21-Jan-21	UOG Global Learning and Engagement
WESTERN PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL	Chelsa Muna-Brecht	Director, DOAg	✓	4-Jan-19	UOG School of Business and Public Administration
WESTERN PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL	Tyrone Taitano	Director, BSP	✓	4-Jan-19	UOG School of Business and Public Administration

Appendix 7:
Status of Audit Recommendations

No.	Addressee	Audit Recommendation	Status	Actions Required
1	Guam Ethics Commission	We recommend an entity, such as the Guam Ethics Commission, be responsible for monitoring compliance with the required ethics training.	OPEN	Submit a corrective action plan.
2	Guam Legislature	We also recommend there be no restriction on who can participate in an ethics program and that all government employees, in particular mid-level managers and procurement officials, be required to attend a basic ethics-training program.	OPEN	Pending action from the Executive Branch.
	Executive Branch			

ETHICS IN GOVERNMENT PROGRAM AS MANDATED BY PUBLIC LAW 28-76 Report No. 21-05, May 2021

ACKNOWLEDGEMENTS

Key contributions to this report were made by:

Vincent Duenas, Audit Supervisor
Benjamin J.F. Cruz, Public Auditor

MISSION STATEMENT

To ensure public trust and good governance in the Government of Guam, we conduct audits and administer procurement appeals with objectivity, professionalism, and accountability.

VISION

The Government of Guam is a model for good governance with OPA leading by example as a model robust audit office.

CORE VALUES

Objectivity

To have an independent and impartial mind.

Professionalism:

To adhere to ethical and professional standards.

Accountability:

To be responsible and transparent in our actions.

REPORTING FRAUD, WASTE, AND ABUSE

- Call our HOTLINE at 47AUDIT (472.8348)
- Visit our website at www.opaguam.org
- Call our office at 475.0390
- Fax our office at 472.7951
- Or visit us at Suite 401 DNA Building in Hagåtña

All information will be held in strict confidence.



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